

**Commission for Patents
P.O. Box 1450
Alexandria, VA. 22313-
1450**

Patent Number	6469615
Issue date	10/22/2002
Inventor	Darren Kady
Docket Number	KADY.01.NP

Dear Sir:

Resubmission in Response to Petition Dismissal

In response to the dismissal of the petition to revive an unintentionally abandoned patent dated May 31, 2012, with a response date of July 31, 2012, the undersigned hereby submits a Statement Under 37 CFR 3.73(b). The statement verifies that Darren Kady is authorized to sign on behalf of the assignee Direct Source Innovations, LLC. A copy of the PTO assignment records and Dismissal is also being submitted with this cover.

The petition fee of \$400 is being paid herewith.

The undersigned respectfully submits that the petition forms are now complete and that the patent is in condition for revival.

Respectfully submitted,
Parker Intellectual Property Law Office, PLC



Sheldon H. Parker

June 18, 2012
Parker Intellectual Property Law Office, PLC
536 Pantops Center #234,
Charlottesville, Va 22911
Phone 434-326-5670

**Assignments on the Web > Patent Query****Patent Assignment Abstract of Title**

NOTE: Results display only for issued patents and published applications. For pending or abandoned applications please consult USPTO staff.

Total Assignments: 2Patent #: [6469615](#)

Issue Dt: 10/22/2002

Application #: 09178837

Filing Dt: 10/26/1998

Inventors: DARREN J. KADY, DEBORAH A. KADY

Title: LOCKING DEVICE FOR TOOLS AND EQUIPMENT

Assignment: 1Reel/Frame: [018132/0802](#)

Recorded: 08/21/2006

Pages: 2

Conveyance: ASSIGNMENT OF ASSIGNORS INTEREST (SEE DOCUMENT FOR DETAILS).

Assignors: [KADY, DARREN J](#)

Exec Dt: 08/01/2006

[KADY, DEBORAH A](#)

Exec Dt: 08/01/2006

Assignee: [DIRECT SOURCE INNOVATIONS, LLC](#)
19315 W CATAWBA AVE., SUITE 102
CORNELIUS, NORTH CAROLINA 28031Correspondent: DIRECT SOURCE INNOVATIONS, LLC
19315 W CATAWBA AVE., SUITE 102
CORNELIUS, NC 28031**Assignment: 2**Reel/Frame: [027727/0879](#)

Recorded: 02/17/2012

Pages: 2

Conveyance: CHANGE OF ASSIGNEE ADDRESS

Assignor: [DIRECT SOURCE INNOVATIONS, LLC](#)

Exec Dt: 02/16/2012

Assignee: [DIRECT SOURCE INNOVATIONS, LLC](#)
6 BUCKINGHAM PLANTATION DR
BLUFFTON, SOUTH CAROLINA 29910Correspondent: SHELDON H PARKER
536 PANTOPS CENTER #234
CHARLOTTESVILLE, VA 22911

Search Results as of: 06/18/2012 04:57 PM

If you have any comments or questions concerning the data displayed, contact PRD / Assignments at 571-272-3350. v.2.3.1

Web Interface last modified: Jan 26, 2012 v.2.3.1

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UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents
United States Patent and Trademark Office
P.O. Box 1450
Alexandria, VA 22313-1450
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Paper No.

DARREN J. KADY
6 BUCHINGHAM PLANTATION DRIVE
BLUFFTON, SC 29910

MAILED
MAY 31 2012
OFFICE OF PETITIONS

In re Patent No. 6,469,615 :
Issue Date: October 22, 2002 :
Application No. 09/178,837 : ON PETITION
Filed: October 26, 1998 :
Patentee: Darren J. Kady, et al. :

This is a decision on the petition under 37 CFR 1.378(c), filed March 5, 2012, to accept the delayed payment of a maintenance fee for the above-identified patent.

The petition is **DISMISSED**.

This patent issued on October 22, 2002. Accordingly, this patent expired on October 22, 2010 for failure to pay the second maintenance fee. Since the petition was submitted within twenty-four months after the six-month grace period provided in 37 CFR 1.362(e), the petition was timely filed under the provisions of 37 CFR 1.378(c).

A petition to accept the unintentionally delayed payment of a maintenance fee under 35 U.S.C. 41(c) and 37 CFR 1.378(c) must be accompanied by: (1) a statement that the delay was unintentional; (2) payment of the appropriate maintenance fee, unless previously submitted; (3) payment of the surcharge set forth in 37 CFR 1.20(i)(2).

The petition lacks item (1) above. In this regard, the petition is not signed, as required by 37 CFR 1.378(d), by an attorney or agent registered to practice before the Patent and Trademark Office, the patentee, or the assignee or other party in interest as established by 37 CFR 3.73(b).¹ Consequently, the petition is considered not to contain a proper statement of unintentional delay and, therefore, cannot be accepted.

If reconsideration of this decision is desired, a properly signed petition for reconsideration under 37 CFR 1.378(e) must be filed within TWO (2) MONTHS from the mail date of this decision. No extension of

¹37 CFR 3.73(b) provides that: (1) when an assignee seeks to take action in a matter before the Office, the assignee must establish its ownership of the property to the satisfaction of the Commissioner; (2) ownership is established by submitting to the Office, in the Office file related to the matter in which action is sought to be taken, documentary evidence of a chain of title from the original owner to the assignee (e.g., copy of an executed assignment submitted for recording) or by specifying (e.g., recit and frame number) where such evidence is recorded in the Office; (3) the submission establishing ownership must be signed by a party authorized to act on behalf of the assignee; and (4) documents submitted to establish ownership may be required to be recorded as a condition to permitting the assignee to take action in a matter pending before the Office.

this 2-month time limit can be granted under 37 CFR 1.136(a) or (b). **Any such petition for reconsideration must be accompanied by the petition fee of \$400 as set forth in 37 CFR 1.17(f).** The petition for reconsideration must also include any lacking item(s) noted above, since, after a decision on the petition for reconsideration, no further reconsideration or review of the matter will be undertaken by the Director. For applicant's convenience a Statement under 37 CFR 3.73(b) is attached.

Further correspondence with respect to this matter should be addressed as follows:

By mail: Mail Stop PETITION
 Commissioner for Patents
 Post Office Box 1450
 Alexandria, VA 22313-1450

By hand: U.S. Patent and Trademark Office
 Customer Service Window, Mail Stop PETITION
 Randolph Building
 401 Dulany Street
 Alexandria, VA 22314

The centralized facsimile number is (571) 273-8300.

If petitioner does not wish to pursue reinstatement of this expired patent, petitioner may request a refund of the \$1,425 maintenance fee and the \$1,640 surcharge fee submitted with the petition. The request should be made in writing and addressed to: Mail Stop 16, Director of the U.S. Patent and Trademark Office, P. O. Box 1450, Alexandria, VA 22313-1450. A copy of this decision should accompany petitioner's request.

Any questions concerning this matter may be directed to the undersigned at (571) 272-3204.

/SDB/

Sherry D. Brinkley
Petitions Examiner
Office of Petitions

Attachment: Statement Under 37 CFR 3.73(b)

Privacy Act Statement

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(g)).
7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.